

25 Metro Drive  
Suite 700

San Jose  
California 95110

T: 408-453-8200  
F: 408-453-7979

Austin, TX  
Newport Beach, CA  
San Francisco, CA

skjerven morrill  
macpherson LLP

Docket No.: M-9728 US

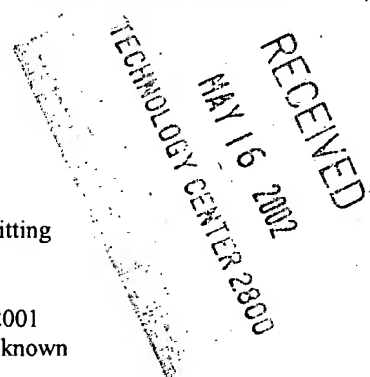
Box Non-fee amendment  
Commissioner For Patents  
Washington, D.C. 20231



May 6, 2002

Re: Applicant(s): Pocius, Douglas W.; Camras, Michael D.; Hofler, Gloria E.  
Assignee: LumiLeds Lighting U.S., LLC  
Title: Forming An Optical Element On The Surface Of A Light Emitting  
Device For Improved Light Extraction  
Serial No.: 09/823,841  
Examiner: unknown  
Docket No.: M-9728 US

Filed: March 30, 2001  
Group Art Unit: unknown



Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) Return Receipt Postcard;
- (2) This Transmittal Letter (in duplicate); and
- (3) Preliminary Amendment (10 pages).

☒ The fee has been calculated as shown below:

**CLAIMS AS AMENDED**

	Claims Remaining <u>After Amendment</u>		Highest No. Previously <u>Paid For</u>		Present <u>Extra</u>		<u>Rate</u>		<u>Additional Fee</u>
Total Claims	49	Minus	45	=	4	x	\$18.00	\$	72.00
Independent Claims	9	Minus	9	=	0	x	\$84.00	\$	0.00
<input type="checkbox"/>	Fee of _____ for the first filing of one or more multiple dependent claims per application							\$	
<input type="checkbox"/>	Fee for Request for Extension of Time							\$	
<b><u>Total additional fee for this Amendment:</u></b>								\$	<u>72.00</u>
<input checked="" type="checkbox"/>	Conditional Petition for Extension of Time: If an extension of time is required for timely filing of the enclosed document(s) after all papers filed with this transmittal have been considered, an extension of time is hereby requested.								
<input checked="" type="checkbox"/>	Please charge our Deposit Account No. 19-2386 in the amount of							\$	<u>72.00</u>
<input checked="" type="checkbox"/>	Also, charge any additional fees required and credit any overpayment to our Deposit Account No. 19-2386.								
<b>Total:</b>								\$	72.00

Express Mail No.: EL 937 084 839 US

Respectfully submitted,

*Mark E. Schmidt*

Mark E. Schmidt  
Agent for Applicant(s)  
Reg. No. 47,063



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant(s): Pocius, Douglas W.; Camras, Michael D.; Hofler, Gloria  
Assignee: LumiLeds Lighting U.S., LLC  
Title: Forming An Optical Element On The Surface Of A Light Emitting Device For Improved Light Extraction  
Serial No.: 09/823,841 Filing Date: March 30, 2001  
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And  
J. McMillan

San Jose, California  
May 6, 2002

5/21/02

BOX NON-FEE  
COMMISSIONER FOR PATENTS  
Washington, D. C. 20231

PRELIMINARY AMENDMENT

Dear Sir:

Please enter the following amendments before taking action on the above-identified patent application.

IN THE CLAIMS

The following is a clean version of the entire set of pending claims. The present submission amends Claims 18, 26, 31-34, 36, 37, 39, 40, and 45 and adds new Claims 46-49. In accordance with 37 CFR §1.121(c)(1)(ii), marked-up versions of Claims 18, 26, 31-34, 36, 37, 39, 40, and 45 are shown in Appendix A attached below.

1. A method of forming a light emitting device, said method comprising:  
forming at least one of Fresnel lens and holographic diffuser on at least one surface of a semiconductor light emitter to affect light emitted by said semiconductor light emitter.
2. The method of claim 1 wherein said semiconductor light emitter has at least one light extraction surface from where light is intended to be extracted, and wherein said forming is done on at least one extraction surface of said semiconductor light emitter.
3. The method of claim 1 wherein said forming comprises pressing a stamping block against at least one surface of said semiconductor light emitter.

LAW OFFICES OF  
SKJERNEN MORRILL  
MACPHERSON LLP

25 METRO DRIVE  
SUITE 700  
SAN JOSE, CA 95110  
(408) 433-9200  
FAX (408) 433-7079